

# ALMOST SIMPLE GROUPS WITH MORE THAN ONE HALF OF THE NUMBER OF CYCLIC SUBGROUPS

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ABSTRACT. Let  $G$  be a finite group,  $c(G)$  the number of cyclic subgroups of  $G$ , and  $\alpha(G)$  the ratio of the number of cyclic subgroups of  $G$  to the order of the group, i.e.  $\alpha(G) = c(G)/|G|$ . A group  $G$  called an almost simple group if there exists a finite non-abelian simple group such that  $S \leq G \leq \text{Aut}(S)$ . In this paper, we prove that if  $G$  is an almost simple group, then  $\alpha(G) \geq 1/2$  if and only if  $G \cong A_5, S_5, S_6$ .

## 1. INTRODUCTION

In this paper all groups are finite. Define  $c(G)$  be the number of cyclic subgroups of  $G$  and let  $\alpha(G)$  be the ratio of the number of cyclic subgroups of  $G$  to the order of the group, i.e.  $\alpha(G) = c(G)/|G|$ . In recent years, numerous academics have explored the correlation between  $\alpha(G)$  and finite group structure, and many results have been achieved. In 2018, Garonzi and Lima have classified the groups with  $\alpha(G) > 3/4$  (see [1]). In 2020, Gao and Shen have classified the groups with  $\alpha(G) = 3/4$  (see [2]). More recently, Cayley continued to study the classification of groups for a given value of  $\alpha(G)$  (see [3]). In this paper, we restrict  $G$  to an almost simple group, that is  $S \leq G \leq \text{Aut}(S)$ , where  $S$  is a finite non-abelian simple group. Our focus is the classification of almost simple groups  $G$ , satisfying property  $\alpha(G) > 1/2$ .

**Theorem 1.1.** *Let  $G$  be an almost simple group. Then  $\alpha(G) > 1/2$  if and only if  $G \cong A_5, S_5, S_6$ .*

## 2. SOME LEMMAS

We define  $I(G) = \{x \in G \mid x^2 = 1\}$ ,  $J(G) = \{x \in G \mid o(x) \neq 1, 2, 3, 4, 6\}$  and denote  $k(G)$  the number of conjugacy classes of the group  $G$ . For the proof of the theorem, we also give the following necessary lemmas.

**Lemma 2.1.** ([1]) *Let  $G$  be a finite group, then  $c(G) = \sum_{x \in G} \frac{1}{\varphi(o(x))}$ , where  $o(x)$  is the order of the element  $x$  and  $\varphi(o(x))$  is Euler function of  $o(x)$ .*

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**Lemma 2.2.** *Let  $G$  be a finite group. If  $|I(G)| < \frac{1}{2}|J(G)|$ , then  $\alpha(G) < \frac{1}{2}$ .*

*Proof.* For any element  $y$  of  $I(G)$ , we have  $\varphi(o(y)) = 1$ . Let  $t$  is any element of  $J(G)$ , then  $\varphi(o(t)) \geq 4$ . But for any remaining element  $z$ , we have  $\varphi(o(z)) = 2$ . Hence 
$$c(G) = \sum_{x \in G} \frac{1}{\varphi(o(x))} = \sum_{y \in I(G)} \frac{1}{\varphi(o(y))} + \sum_{t \in J(G)} \frac{1}{\varphi(o(t))} + \sum_{z \in G - I(G) - J(G)} \frac{1}{\varphi(o(z))} \leq |I(G)| + \frac{1}{4}|J(G)| + \frac{1}{2}(|G| - |I(G)| - |J(G)|) = \frac{1}{2}|I(G)| - \frac{1}{4}|J(G)| + \frac{1}{2}|G| < \frac{1}{2}|G|.$$
 thus  $\alpha(G) = \frac{c(G)}{|G|} < \frac{1}{2}$ .  $\square$

**Lemma 2.3.** (*[1, Lemma 1]*) *Let  $G$  be a finite group. Then  $|I(G)|^2 \leq k(G) \cdot |G|$ .*

**Lemma 2.4.** (*[4, Theorem 2]*) *If  $G$  is any subgroup of  $S_n$ , then  $k(G) \leq 2^{n-1}$ , where  $n$  is a positive integer.*

**Lemma 2.5.** (*[5, Page 314 Theorem 1.1]*) *Suppose that  $G$  is  $A_n$  with  $n \geq 5$ . If  $n = 6$ , then  $Aut(A_6)$  is isomorphic to an extension of  $S_6$  by the cyclic of order 2. If  $n \neq 6$ , then  $Aut(A_n)$  is isomorphic to  $S_n$ .*

**Lemma 2.6.** (*[4, Lemma 2.1]*) *If  $G$  is a simple group of Lie type in characteristic  $p$ , then either*

- (1)  $k(G) < |G|_p$ , that is the order of Sylow  $p$ -subgroup of  $G$ , or
- (2)  $G = L_2(q)$ ,  $q$  even (in which case  $k(G) = q + 1$ ), or  $G = L_2(5)$  (in which case  $k(G) = 5$ ).

**Lemma 2.7.** (*[4, Theorem 1]*) *Let  $S$  be a finite simple group of Lie type over  $\mathbb{F}_q$ . If the Lie rank of group  $S$  is equal to  $l$ , then  $k(S) \leq (6q)^l$ .*

**Lemma 2.8.** (*[6, Lemma 1]*) *Let  $G$  be a finite group, for every normal subgroup  $N$  of  $G$ , we have  $k(G) \leq k(G/N) \cdot k(N)$ .*

**Lemma 2.9.** *Let  $S$  be a finite Lie type simple group, then there exist self-centred cyclic subgroups  $T$  (see Table 1), satisfying  $|T| \geq 5$ ,  $|T| \neq 6$  and  $|S| > 4 \cdot |T|^2 \cdot (6q)^l \cdot |Out(S)|^2$ , except for the following cases.*

- (i)  $A_1(q)$ , ( $q \geq 4$ ).
- (ii)  $A_2(q)$ ,  $q = 2^f$ ,  $1 \leq f \leq 8$ ,  $f = 10$ ;  $q = 3^f$ ,  $1 \leq f \leq 4$ ;  $q = 5^f$ ,  $1 \leq f \leq 2$ ;  $q = 7^f$ ,  $1 \leq f \leq 3$ ;  $q = 11^f$ ,  $1 \leq f \leq 2$ ;  $q = 13^f$ ,  $1 \leq f \leq 2$ ;  $q = 17, 19, 23, 31, 37, 43, 61, 67, 73, 79, 97, 103, 109$ .
- (iii)  $A_3(q)$ ,  $q = 2, 3, 4, 5, 9$ ;  $A_4(2);^2 A_2(q)$ ,  $q = 3, 4, 5, 7, 8, 11, 32$ ;  $A_3(q)$ ,  $q = 2, 3$ ;  $C_2(q)$ ,  $q = 3, 4, 5, 8$ ;  $D_4(2);^2 F_4(2)';^2 B_2(8)$ .

TABLE 1. **Related Lie type simple groups**

$S$	Lie rank $l$	$ Out(S) $	$ T $ ( $\geq 5$ and $\neq 6$ )
$A_n(q), n \geq 2$	$n$	$2(n+1, q-1)f$	$(q^{n+1}-1)(q-1)^{-1}$
${}^2A_n(q), n \geq 2$	$\lceil \frac{n+1}{2} \rceil$	$2(n+1, q+1)f$	$(q^{n+1}+(-1)^n)(q+1)^{-1}$
$B_n(q), n \geq 2, q$ odd	$n$	$2f$	$q^n+1$
$C_n(q), n \geq 2$	$n$	$2f, n=2$ $(2, q-1)f, n \geq 3$	$q^n+1$
$D_n(q), n \geq 4$	$n$	$6(4, q^n-1)f, n=4$ $2(4, q^n-1)f, n \neq 4$	$q^n-1$
${}^2D_n(q), n \geq 4$	$n-1$	$2(4, q^n+1)f$	$q^n+1$
${}^2F_4(q), q = 2^{2n+1}$	$2$	$f$	$q^2+(q+1)(\sqrt{2q}+1)$
$F_4(q)$	$4$	$(2, p)f$	$q^4+1$
${}^3D_4(q)$	$2$	$3f$	$(q^3-1)(q+1)$
${}^2G_2(q), q = 3^{2n+1}$	$1$	$f$	$q+\sqrt{3q}+1$
$E_6(q)$	$6$	$2(3, q-1)f$	$\Phi_{12}(q)\Phi_3(q)$
${}^2E_6(q)$	$4$	$2(3, q+1)f$	$\Phi_{12}(q)\Phi_6(q)$
$E_7(q)$	$7$	$(2, q-1)f$	$\Phi_{12}(q)(q^3+1)$
$E_8(q)$	$8$	$f$	$\Phi_{30}(q)$
$G_2(q)$	$2$	$2f, p=3$ $f, p \neq 3$	$\Phi_3(q)$
${}^2B_2(q),$ $q = 2^{2n+1}, n \geq 2$	$1$	$f$	$q+\sqrt{2q}+1$

where  $q = p^f, f \in N, p$  is prime,  $\Phi_n(q)$  denotes the cyclotomic polynomial(see [5] and [7]).

*Proof.* The order of the outer automorphism group of simple groups of Lie type is given by [5], and the order of the self-centralized cyclic subgroup  $T$  is given in [7]. We list in Table 1. It is easy to compute that if  $S$  is not in (i),(ii),(iii), then  $S$  satisfies  $|S| > 4 \cdot |T|^2 \cdot (6q)^l \cdot |Out(S)|^2, |T| \geq 5$  and  $|T| \neq 6$ . □

### 3. PROOF OF THEOREM

*Proof.* Suppose  $G$  is an almost simple group, that is  $S \leq G \leq Aut(S)$ , where  $S$  is a finite non-abelian simple group. If  $G \cong A_5, S_5, S_6$ , then  $\alpha(A_5) = \frac{8}{15}, \alpha(S_5) = \frac{67}{120}, \alpha(S_6) = \frac{181}{360}$ , all of which have  $\alpha(G) \geq \frac{1}{2}$ . conversely we assume  $\alpha(G) \geq \frac{1}{2}$ , we need to prove  $G \cong A_5, S_5, S_6$ .

Firstly, suppose  $S$  is an Alternating group  $A_n$  with  $n \geq 5$ . According to the Atlas group table (see [8]),  $G$  is isomorphic to  $A_5, S_5$  or  $S_6$  if  $n=5,6,7$  and  $\alpha(G) \geq \frac{1}{2}$ . When  $n \geq 8$ , it follows

from Lemma 2.5 that  $Aut(A_n)$  is isomorphic to  $S_n$ , and hence  $G$  is isomorphic to  $A_n$  or  $S_n$ . Then, by Lemma 2.3 and Lemma 2.4, we obtain  $|I(S_n)| \leq \sqrt{2^{n-1} \cdot (n!)}$ . Next, if  $n$  is even, then  $J(A_n) \supseteq \{x \in A_n \mid x \text{ is a } (n-1)\text{-cycle}\}$ , and hence  $|J(A_n)| \geq \frac{n!}{n-1}$ . But  $\sqrt{2^{n-1} \cdot (n!)} < \frac{1}{2} \cdot \frac{n!}{n-1}$ , and hence  $|I(S_n)| < \frac{1}{2} |J(A_n)|$ . If  $n$  is odd, then  $J(A_n) \supseteq \{x \in A_n \mid x \text{ is a } n\text{-cycle}\}$ , and hence  $|J(A_n)| \geq \frac{n!}{n}$ . But  $\sqrt{2^{n-1} \cdot (n!)} < \frac{1}{2} \cdot \frac{n!}{n}$ , and hence  $|I(S_n)| < \frac{1}{2} |J(A_n)|$ . Both result in  $|I(G)| < \frac{1}{2} |J(G)|$ . Thus, if the group  $S$  is an Alternating group, it follows from Lemma 2.2 that the group  $G$  is isomorphic to  $A_5, S_5$  or  $S_6$ .

Secondly, suppose  $S$  is a simple group of Lie type. When  $S$  is not a group of items (i),(ii),(iii) in Lemma 2.9, there exists a self-centred cyclic subgroup  $T$ , satisfying  $|T| \geq 5, |T| \neq 6$  and  $|S| > 4 \cdot |T|^2 \cdot (6q)^l \cdot |Out(S)|^2$ . We assume that  $T = \langle t \rangle$ , of course, has  $C_S(t) = T$ . Since  $|t^S| = |S : C_S(t)| = |S| / |T|$ , we have  $|J(S)| \geq |S| / |T|$ . By Lemmas 2.3, 2.7 and 2.8 we get

$$\begin{aligned} \frac{|S|}{2|T|} &> \sqrt{(6q)^l \cdot |S| \cdot |Out(S)|^2} \\ &\geq \sqrt{k(S) \cdot |S| \cdot k(Out(S)) \cdot |Out(S)|} \\ &\geq \sqrt{k(Aut(S)) \cdot |Aut(S)|} \\ &\geq |I(Aut(S))|. \end{aligned}$$

Thus  $S$  satisfies  $|I(Aut(S))| < \frac{1}{2} |J(S)|$ , and because  $S \leq G \leq Aut(S)$ , and hence  $|I(G)| \leq |I(Aut(S))| < \frac{1}{2} |J(S)| \leq \frac{1}{2} |J(G)|$ . Again, by Lemma 2.2, it follows that  $\alpha(G) < \frac{1}{2}$ . Below, when  $S$  is a group in Lemma 2.9(i),(ii),(iii), we discuss three cases.

Case 1.  $S \cong A_1(q), (q \geq 4)$ .

When  $q = 4, 5$ ,  $S$  is isomorphic to  $A_5$ . When  $q = 9$ ,  $S$  is isomorphic to  $A_6$ . These are discussed and not repeated. For  $q = 7, 8, 11, 13, 16$ ,  $|I(G)| < \frac{1}{2} |J(G)|$  is easily calculated from the Atlas table. The case of  $q \geq 17$  is discussed below. Since  $\varphi(d)$  denotes the value of the Euler function of an element of order  $d$  in  $A_1(q)$  and  $q^2 - 1$  denotes the number of elements of order  $p$  in  $A_1(q)$  (see Lemma 2.2.9 in [9]), we have  $|J(S)| \geq |S| - 4q^2 - 3q$  and  $|I(Aut(S))| < \frac{1}{4}q(q^2 - 8q - 7)$  below. In fact, by Lemma 2.2.9 in [9], we know that the number of 2nd order elements is at most  $q^2 - 1$ , and since  $\varphi(d) = 2, d = 3, 4, 6$ , it is possible to take that 3rd, 4th, and 6th order elements exist and that the number of elements is taken to be  $q(q + 1)$ , and thus that  $|J(S)| \geq |S| - 4q^2 - 3q$ . By Lemma 2.6, we have  $|I(Aut(S))| \leq \sqrt{(q + 1) \cdot (2, q - 1)^2 \cdot f^2 \cdot |S|} < \frac{1}{4}q(q^2 - 8q - 7)$ , and because of  $\frac{1}{4}q(q^2 - 8q - 7) \leq \frac{1}{2}(|S| - 4q^2 - 3q)$ , thus  $|I(Aut(S))| < \frac{1}{2} |J(S)|$ .

Case 2.  $S \cong A_2(q)$ , where  $q = 2, 2^2, 2^3, 2^4, 2^5, 2^6, 2^7, 2^8, 2^{10}, 3, 3^2, 3^3, 3^4, 5, 5^2, 7, 7^2, 7^3, 11, 11^2, 13, 13^2, 17, 19, 23, 31, 37, 43, 61, 67, 73, 79, 97, 103, 109$ .

When  $q = 2, 3, 4, 5, 7, 8, 9, 11$ , it is easy to verify  $|I(G)| < \frac{1}{2} |J(G)|$  according to the Atlas group table. When  $q = 17$ , then there are elements of order 307, and according to lemma 3.1 in [10] the number of elements of order 307 is 2309188608, so  $|J(S)| \geq 2309188608$ . Substituting Lemma 2.6, it is clear that  $|I(Aut(S))| < \frac{1}{2} \cdot 2309188608 \leq \frac{1}{2} |J(S)|$ . When  $q = 31$ , there is an element of order 331, again using Lemma 2.6 and Lemma 3.1 in [10], which proves that there is  $|I(Aut(S))| < \frac{1}{2} |J(S)|$ . For  $q \neq 2, 3, 4, 5, 7, 8, 9, 11, 17, 31$ . By Theorem 5.2.14 in [11], there exists a primitive prime divisor  $r(r \geq 5)$  in  $|S|$ , written  $|S|_r = |S| / |S|_r$ ,

where  $|S|_r$  is Sylow  $r$ - subgroup order of the group  $S$ . Clearly  $\{x \in S \mid r \mid o(x)\}$  is a non-empty subset of the group  $S$ . By Theorem 3 of [12], there exists  $|S|_{r'}$  which divides  $\{x \in S \mid r \mid o(x)\}$ , so  $|J(S)| \geq |\{x \in S \mid r \mid o(x)\}| \geq |S|_{r'} = |S|/r$ . Then by Lemma 2.6 and the order of the group  $S$ , it follows that there exists a primitive prime divisor  $r$  such that  $|I(\text{Aut}(S))| < \sqrt{q^3 \cdot 4 \cdot (3, q-1)^2 \cdot f^2 \cdot |S|} < \frac{|S|}{2r} \leq \frac{1}{2} |J(S)|$ , where  $r$  is in the order of  $q$ -values corresponding to the order of 7, 7, 5, 43, 13, 41, 7, 41, 13, 19, 43, 61, 7, 5, 5, 7, 19, 11, 31, 17, 37, 5, 3169, 13, 11.

Case 3.  $S \cong A_3(q), q = 2, 3, 4, 5, 9; A_4(2); {}^2A_2(q), q = 3, 4, 5, 7, 8, 11, 32; {}^2A_3(q), q = 2, 3; C_2(q), q = 3, 4, 5, 8; D_4(2); {}^2F_4(2)'; {}^2B_2(8)$ .

According to the Atlas group table, if  $S \cong A_3(2), A_3(3), A_4(2), {}^2A_2(3), {}^2A_2(4), {}^2A_2(5), {}^2A_2(7), {}^2A_2(8), {}^2A_2(11), {}^2A_3(2), {}^2A_3(3), C_2(3), C_2(4), C_2(5), D_4(2), {}^2F_4(2)', {}^2B_2(8)$ , then  $|I(G)| < \frac{1}{2} |J(G)|$  is obtained. If  $S \cong A_3(4), A_3(5), A_3(9), {}^2A_2(32), C_2(8)$ , according to the order of the group  $S$ , there exist  $r$  is 7, 13, 7, 5, 31. Again, by Lemma 2.6 and Theorem 3 of [12], it is verified that there exists  $|I(\text{Aut}(S))| < \frac{1}{2} |J(S)|$ .

For  $|I(\text{Aut}(S))| < \frac{1}{2} |J(S)|$  appears in the above three cases due to  $S \leq G \leq \text{Aut}(S)$ , and hence  $|I(G)| \leq |I(\text{Aut}(S))| < \frac{1}{2} |J(S)| \leq \frac{1}{2} |J(G)|$ . Thus, if  $S$  is a group not isomorphic to  $A_5, A_6$  in (i),(ii),(iii), by Lemma 2.2, both yield  $\alpha(G) < \frac{1}{2}$ . Thus, if  $S$  is a simple group of Lie type,  $G \cong A_5, S_5, S_6$ .

Finally, if the group  $S$  is a sporadic simple group according to the Atlas group table, we check that we have  $|I(G)| < \frac{1}{2} |J(G)|$ . According to Lemma 2.2, we have  $\alpha(G) < \frac{1}{2}$ . In summary, we have  $G \cong A_5, S_5, S_6$ , if  $\alpha(G) \geq \frac{1}{2}$ . The proof is complete.  $\square$

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